

**Prozone Capital Shopping Centres Limited**

**SHAREHOLDING PATTERN**

**AS ON 30.09.2013**

**1(a) Statement showing Shareholding Pattern**

**Name of the Company:** Prozone Capital Shopping Centres Limited

**Scrip Code:** NSE:PROZONECSC BSE: 534675

**Name of Scrip:** Equity Share of Rs. 2/- each

**Class of Securities** Equity Shares

**Quarter Ended** As on 30.09.2013

<b>Partly Paid-up shares</b>	<b>No. of Partly Paid-up shares</b>	<b>As a % of total no. of partly paid-up shares</b>	<b>As a % of total no. of shares of the Company</b>
Held by promoter / promoter group	0	0	0
Held by public	0	0	0
<b>Total</b>	0	0	0
<b>Outstanding convertible securities</b>	<b>No. of outstanding securities</b>	<b>As a % of total no. of outstanding convertible securities</b>	<b>As a % of total no. of shares of the Company, assuming full conversion of the convertible securities</b>
Held by promoter / promoter group	0	0	0
Held by public	0	0	0
<b>Total</b>	0	0	0
<b>Warrants</b>	<b>No. of warrants</b>	<b>As a % of total no. of warrants</b>	<b>As a % of total no. of shares of the Company, assuming full conversion of warrants</b>
Held by promoter / promoter group	0	0	0
Held by public	0	0	0
<b>Total</b>	0	0	0
<b>Total paid-up capital of the company, assuming full conversion of warrants and convertible securities</b>	Rs. 30,52,05,766/- (15,26,02,883 Equity Shares of Rs. 2/- Each)		

**SHAREHOLDING PATTERN OF PROZONE CAPITAL SHOPPING CENTRES LIMITED - AS ON 30TH SEPTEMBER 2013**

Category Code	Category of shareholder	Number of shareholders	Total number of shares	Number of shares held in dematerialized form	Total shareholding as a % of total number of shares		Shares pledged or otherwise encumbered	
					As a % of (A+B)	As a % of (A+B+C)	No. of Shares	As a %
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)= (VIII)/(IV)*100
<b>(A)</b>	<b>Promoter and Promoter's Group</b>							
<b>(1)</b>	<b>Indian</b>							
(a)	Individuals/ Hindu Undivided Family	16	2,68,92,336	2,68,92,336	17.62	17.62	0	0.00
(b)	Central Government/ State Government(s)	0	0	0	0.00	0.00	0	0.00
(c)	Bodies Corporate	1	2,50,000	2,50,000	0.16	0.16	0	0.00
(d)	Financial Institutions/ Banks	0	0	0	0.00	0.00	0	0.00
(e)	Any Other							
(e-i)	Limited Liability Partnership	3	1,54,00,841	1,54,00,841	10.09	10.09	0	0.00
(e-ii)	Private Family Trust	1	1,02,95,135	1,02,95,135	6.75	6.75	0	0.00
	<b>Sub-Total (A)(1)</b>	<b>21</b>	<b>5,28,38,312</b>	<b>5,28,38,312</b>	<b>34.62</b>	<b>34.62</b>	<b>0</b>	<b>NA</b>
<b>(2)</b>	<b>Foreign</b>							
(a)	Individuals (Non-Resident Individuals/ Foreign Individuals)	0	0	0	0.00	0.00	0	0
(b)	Bodies Corporate	0	0	0	0.00	0.00	0	0
(c)	Institutions	0	0	0	0.00	0.00	0	0
(d)	Any Other (specify)	0	0	0	0.00	0.00	0	0
	<b>Sub-Total (A)(2)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
	<b>Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)</b>	<b>21</b>	<b>5,28,38,312</b>	<b>5,28,38,312</b>	<b>34.62</b>	<b>34.62</b>	<b>0</b>	<b>NA</b>
<b>(B)</b>	<b>Public Shareholding</b>							
<b>(1)</b>	<b>Institutions</b>							
(a)	Mutual Funds/ UTI	1	12,25,425	12,25,425	0.80	0.80	-	-
(b)	Financial Institutions/ Banks	3	7,32,398	7,32,398	0.48	0.48	-	-
(c)	Central Government/ State Government(s)	0	0	0	0.00	0.00	-	-
(d)	Venture Capital Funds	0	0	0	0.00	0.00	0.00	-
(e)	Insurance Companies	0	0	0	0.00	0.00	-	-
(f)	Foreign Institutional Investors/ FFI <sup>3</sup>	15	1,70,64,864	1,70,64,864	11.18	11.18	-	-
(g)	Foreign Venture Capital Investors	0	0	0	0.00	0.00	-	-
(h)	Any Other (specify)	0	0	0	0.00	0.00	-	-
	<b>Sub-Total (B)(1)</b>	<b>19</b>	<b>1,90,22,687</b>	<b>1,90,22,687</b>	<b>12.47</b>	<b>12.47</b>	<b>NA</b>	<b>NA</b>

Category Code	Category of shareholder	Number of shareholders	Total number of shares	Number of shares held in dematerialized form	Total shareholding as a % of total number of shares		Shares pledged or otherwise encumbered	
					As a % of (A+B)	As a % of (A+B+C)	No. of Shares	As a %
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)= (VIII)/(IV)*100
<b>(2)</b>	<b>Non-institutions</b>							
(a)	Bodies Corporate	459	81,84,425	81,84,425	5.36	5.36	-	-
(b)	Individuals -							
	i. Individual shareholders holding nominal share capital up to Rs. 1 lakh.	30,085	1,27,20,798	1,27,06,781	8.34	8.34	-	-
	ii. Individual shareholders holding nominal share capital in excess of Rs. 1 lakh.	33	1,42,60,489	1,41,40,314	9.34	9.34	-	-
(c)	Any Other (specify)							
(c-i)	Clearing Members	103	7,43,196	7,43,196	0.49	0.49	-	-
(c-ii)	Non-resident Indians	428	8,37,188	8,37,188	0.55	0.55	-	-
(c-iii)	Foreign Companies <sup>3</sup>	1	4,39,95,788	4,39,95,788	28.83	28.83	-	-
	<b>Sub-Total (B)(2)</b>	<b>31,109</b>	<b>8,07,41,884</b>	<b>8,06,07,692</b>	<b>52.91</b>	<b>52.91</b>	<b>NA</b>	<b>NA</b>
	Total Public Shareholding (B)= (B)(1)+(B)(2)	31,128	9,97,64,571	9,96,30,379	65.38	65.38	-	-
	<b>TOTAL (A)+(B)</b>	<b>31,149</b>	<b>15,26,02,883</b>	<b>15,24,68,691</b>	<b>100.00</b>	<b>100.00</b>	<b>-</b>	<b>-</b>
<b>(C)</b>	<b>Shares held by Custodians and against which Depository Receipts have been issued</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>NA</b>	<b>NA</b>
(1)	Promoter and Promoter Group	-	-	-	-	-	-	-
(2)	Public	-	-	-	-	-	-	-
	<b>GRAND TOTAL (A)+(B)+(C)</b>	<b>31,149</b>	<b>15,26,02,883</b>	<b>15,24,68,691</b>	<b>100.00</b>	<b>100.00</b>	<b>NA</b>	<b>NA</b>

**Notes:**

- 1) Total Non-promoter holding is 65.38 % there are no ADR/GDR issues.
- 2) Total Foreign Shareholding is 6,18,97,840 shares (40.56%) which includes investment made by NRI -8,37,188 shares (0.55%), Foreign Bodies Corporate- 4,39,95,788 shares (28.83%) and FII- 1,70,64,864 shares (11.18%)
- 3) Nailsfield Limited (NL), Mauritius is holding 4,94,10,788 (32.38%) shares (4,39,95,788 shares in FDI account and 54,15,000 shares in FII account.)

## (I)(b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category “Promoter and Promoter Group”

Sr. No.	Name of the shareholder	Details of Shares held		Encumbered shares			Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
		Number of shares	As a % of Grand Total (A)+(B)+(C)	Number of shares	As a %	As a % of Grand Total (A)+(B)+(C) of sub clause (I)(a)	Number of warrants held	As a % of total number of warrants of the same class	Number of convertible securities held	As a % of total number of convertible securities of the same class	
(I)	(II)	(III)	(IV)	(V)	(VI) = (V)/(III)X 100	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)
1	NIKHIL ANUPENDRA CHATURVEDI	1,06,11,995	6.95	0	0.00	0.00	0	0.00	0	0.00	6.95
2	NIKHIL CHATURVEDI and SHITAL CHATURVEDI	5,000	0.00	0	0.00	0.00	0	0.00	0	0.00	0.00
3	SALIL CHATURVEDI FAMILY TRUST <sup>1</sup>	1,02,95,135	6.75	0	0.00	0.00	0	0.00	0	0.00	6.75
4	RAKESH RAWAT	41,11,750	2.69	0	0.00	0.00	0	0.00	0	0.00	2.69
5	DEEP SUBASH GUPTA	56,73,445	3.72	0	0.00	0.00	0	0.00	0	0.00	3.72
6	NIGAM PATEL	27,12,830	1.78	0	0.00	0.00	0	0.00	0	0.00	1.78
7	AKHIL ANUPENDRA CHATURVEDI	29,12,830	1.91	0	0.00	0.00	0	0.00	0	0.00	1.91
8	ANISHA CHATURVEDI	1,44,225	0.09	0	0.00	0.00	0	0.00	0	0.00	0.09
9	VEENA GUPTA	70,005	0.05	0	0.00	0.00	0	0.00	0	0.00	0.05
10	VANDANA VAIDH	1,620	0.00	0	0.00	0.00	0	0.00	0	0.00	0.00
11	ANISHA CHHABRA	22,035	0.01	0	0.00	0.00	0	0.00	0	0.00	0.01
12	GHANSHYAM RAWAT <sup>2</sup>	20,500	0.01	0	0.00	0.00	0	0.00	0	0.00	0.01
13	PUSHPLATA RAWAT	36,501	0.02	0	0.00	0.00	0	0.00	0	0.00	0.02
14	BALA CHHABRA	1,00,000	0.07	0	0.00	0.00	0	0.00	0	0.00	0.07
15	SUSHANT CHHABRA	67,300	0.04	0	0.00	0.00	0	0.00	0	0.00	0.04
16	VIRENDRA CHHABRA	3,92,300	0.26	0	0.00	0.00	0	0.00	0	0.00	0.26
17	MEERUT FESTIVAL CITY LLP	43,60,841	2.86	0	0.00	0.00	0	0.00	0	0.00	2.86
18	FLORO MERCANTILE LLP	62,40,000	4.09	0	0.00	0.00	0	0.00	0	0.00	4.09
19	TOPSPEED TRADING COMPANY LLP	48,00,000	3.15	0	0.00	0.00	0	0.00	0	0.00	3.15
20	PROVOGUE (INDIA) LIMITED	2,50,000	0.16	0	0.00	0.00	0	0.00	0	0.00	0.16
21	MRS. SANTOSH SUBHASH GUPTA	10,000	0.01	0	0.00	0.00	0	0.00	0	0.00	0.01
	<b>Total</b>	<b>5,28,38,312</b>	<b>34.62</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>34.61</b>

## Note

- Mr. Salil Chaturvedi, Dy. Managing Director and Promoter was holding 1,02,95,135 shares (6.75%) of the Company in his name. Mr. Salil Chaturvedi, after obtaining the approval/ exemption from SEBI under regulation 11(1) read with regulation 3(2) of the SEBI (SAST) Regulations, 2011 has transferred his entire shareholding to a private family trust named as ‘Salil Chaturvedi Family Trust’, wherein Mr. Salil Chaturvedi is a Sole Trustee.
- Shares are inclusive of shares lying in beneficiary accounts of Depository Participants, which could not be transferred to shareholder’s account upto 30.09.2013 due to some operational reasons. The details of such shares are: Mr. Ghanshyam Rawat - 500 shares
- During the quarter, pledge on 5,00,000 shares held by promoter group was released on 12.08.2013, and the same was reported to stock exchanges on 13.08.2013

(l)(c)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category “Public” and holding more than 1% of the total number of shares

Sr. No.	Name of the shareholder	Number of shares held	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (l)(a) above}	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t. total number of convertible securities of the same class	
1	NAILSFIELD LIMITED (Account FDI)	4,39,95,788	28.83	0	0.0000	0	0.0000	28.83
2	Nailsfield Limited- (Account FII)	54,15,000	3.55	0	0.0000	0	0.0000	3.55
3	SANDEEP G RAHEJA	44,89,600	2.94	0	0.0000	0	0.0000	2.94
4	RAKESH JHUNJHUNWALA	37,50,000	2.46	0	0.0000	0	0.0000	2.46
5	LO FUNDS -EMERGING CONSUMER	31,50,000	2.06	0	0.0000	0	0.0000	2.06
6	RAJESH R NARANG	23,24,160	1.52	0	0.0000	0	0.0000	1.52
7	ACACIA PARTNERS, LP	22,43,375	1.47	0	0.0000	0	0.0000	1.47
8	CAVENDISH ASSET MANAGEMENT LTD	17,97,065	1.18	0	0.0000	0	0.0000	1.18
<b>Total</b>		<b>6,71,64,988</b>	<b>44.01</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0.0000</b>	<b>44.01</b>

(l)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category “Public” and holding more than 5% of the total number of shares of the company

Sr. No.	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them	Number of shares	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (l)(a) above}	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	NAILSFIELD LIMITED (including shares holding through FII)	4,94,10,788	32.38	0	0.0000	0	0.0000	32.38
<b>Total</b>		<b>4,94,10,788</b>	<b>32.38</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0.0000</b>	<b>32.38</b>

(I)(d) Statement showing details of locked-in shares

Sr. No.	Name of the shareholder	Number of locked-in shares	Locked-in shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}	Promoter/Promoter Group/Public
1	NA	NA	0.00	0.00
	Total			

Note: With effect from 14th September 2013 the lock in period on 3,79,95,788 shares issued to Nailsfield Limited by the Company in pursuance of Composite scheme of arrangement and amalgamation sanctioned by the High Court, Bombay vide its order dated 10th February, 2012 has been expired. The lock in was prescribed by SEBI vide its letter no. CFD/DIL/SK/PM/5102/2012 dated 31st August, 2012.

(II)(a) Statement showing details of Depository Receipts (DRs)

Sr. No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1	NA	NA	NA	NA
	Total	0	0	0

(II)(b) Statement showing Holding of Depository Receipts (DRs), where underlying shares held by 'promoter/promoter group' are in excess of 1% of the total number of shares

Sr. No.	Name of the DR Holder	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1	NA	NA	NA	NA
	Total			

(III)(a) Statement showing the voting pattern of shareholders, if more than one class of shares/ securities is issued by the issuer:

(Give description of voting rights for each class of securities: Class X, Class Y, Class Z)

Not Applicable, as Company has only one class of security.