

Prozone Capital Shopping Centres Limited
SHAREHOLDING PATTERN
AS ON 30.06.2013

1(a) Statement showing Shareholding Pattern

Name of the Company: Prozone Capital Shopping Centres Limited
Scrip Code: NSE:PROZONECSC BSE: 534675
Name of Scrip: Equity Share of Rs. 2/- each
Class of Securities: Equity Shares
Quarter Ended: As on 30.06.2013

Partly Paid-up shares	No. of Partly Paid-up shares	As a % of total no. of partly paid-up shares	As a % of total no. of shares of the Company
Held by promoter / promoter group	0	0	0
Held by public	0	0	0
Total	0	0	0
Outstanding convertible securities	No. of outstanding securities	As a % of total no. of outstanding convertible securities	As a % of total no. of shares of the Company, assuming full conversion of the convertible securities
Held by promoter / promoter group	0	0	0
Held by public	0	0	0
Total	0	0	0
Warrants	No. of warrants	As a % of total no. of warrants	As a % of total no. of shares of the Company, assuming full conversion of warrants
Held by promoter / promoter group	0	0	0
Held by public	0	0	0
Total	0	0	0
Total paid-up capital of the company, assuming full conversion of warrants and convertible securities	Rs. 30,52,05,766/- (15,26,02,883 Equity Shares of Rs. 2/- Each)		

SHAREHOLDING PATTERN OF PROZONE CAPITAL SHOPPING CENTRES LIMITED - AS ON 30TH JUNE 2013

Category Code	Category of shareholder	Number of shareholders	Total number of shares	Number of shares held in dematerialized form	Total shareholding as a % of total number of shares		Shares pledged or otherwise encumbered	
					As a % of (A+B)	As a % of (A+B+C)	No. of Shares	As a %
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)= (VIII)/(IV)*100
(A)	Promoter and Promoter's Group							
(1)	Indian							
(a)	Individuals/ Hindu Undivided Family	17	3,71,87,471	3,71,87,471	24.37	24.37	0	0.00
(b)	Central Government/ State Government(s)	0	0	0	0.00	0.00	0	0.00
(c)	Bodies Corporate	1	2,50,000	2,50,000	0.16	0.16	0	0.00
(d)	Financial Institutions/ Banks	0	0	0	0.00	0.00	0	0.00
(e)	Any Other							
(e-i)	Limited Liability Partnership	3	1,59,04,778	1,59,04,778	10.42	10.42	500000	3.14
	Sub-Total (A)(1)	21	5,33,42,249	5,33,42,249	34.95	34.95	5,00,000	NA
(2)	Foreign							
(a)	Individuals (Non-Resident Individuals/ Foreign Individuals)	0	0	0	0.00	0.00	0	0
(b)	Bodies Corporate	0	0	0	0.00	0.00	0	0
(c)	Institutions	0	0	0	0.00	0.00	0	0
(d)	Any Other (specify)	0	0	0	0.00	0.00	0	0
	Sub-Total (A)(2)	0	0	0	0	0	0	0
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)	21	5,33,42,249	5,33,42,249	34.95	34.95	500000	NA
(B)	Public Shareholding							
(1)	Institutions							
(a)	Mutual Funds/ UTI	1	1,32,544	1,32,544	0.09	0.09	-	-
(b)	Financial Institutions/ Banks	3	7,32,398	7,32,398	0.48	0.48	-	-
(c)	Central Government/ State Government(s)	0	0	0	0.00	0.00	-	-
(d)	Venture Capital Funds	0	0	0	0.00	0.00	0.00	-
(e)	Insurance Companies	0	0	0	0.00	0.00	-	-
(f)	Foreign Institutional Investors/ FFI ³	15	1,68,31,831	1,68,31,831	11.03	11.03	-	-
(g)	Foreign Venture Capital Investors	0	0	0	0.00	0.00	-	-
(h)	Any Other (specify)	0	0	0	0.00	0.00	-	-
	Sub-Total (B)(1)	19	1,76,96,773	1,76,96,773	11.60	11.60	NA	NA

Category Code	Category of shareholder	Number of shareholders	Total number of shares	Number of shares held in dematerialized form	Total shareholding as a % of total number of shares		Shares pledged or otherwise encumbered	
					As a % of (A+B)	As a % of (A+B+C)	No. of Shares	As a %
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)= (VIII)/(IV)*100
(2)	Non-institutions							
(a)	Bodies Corporate	474	80,11,982	80,11,982	5.25	5.25	-	-
(b)	Individuals -							
	i. Individual shareholders holding nominal share capital up to Rs. 1 lakh.	30,988	1,31,83,336	1,31,69,319	8.64	8.64	-	-
	ii. Individual shareholders holding nominal share capital in excess of Rs. 1 lakh.	33	1,43,25,878	1,42,05,703	9.39	9.39	-	-
(c)	Any Other (specify)							
(c-i)	Clearing Members	142	11,83,213	11,83,213	0.78	0.78	-	-
(c-ii)	Non-resident Indians	446	8,63,664	8,63,664	0.57	0.57	-	-
(c-iii)	Foreign Companies ³	1	4,39,95,788	4,39,95,788	28.83	28.83	-	-
	Sub-Total (B)(2)	32,084	8,15,63,861	8,14,29,669	53.45	53.45	NA	NA
	Total Public Shareholding (B)= (B)(1)+(B)(2)	32,103	9,92,60,634	9,91,26,442	65.05	65.05	-	-
	TOTAL (A)+(B)	32,124	15,26,02,883	15,24,68,691	100.00	100.00	-	-
(C)	Shares held by Custodians and against which Depository Receipts have been issued	0	0	0	0	0	NA	NA
(1)	Promoter and Promoter Group	-	-	-	-	-	-	-
(2)	Public	-	-	-	-	-	-	-
	GRAND TOTAL (A)+(B)+(C)	32,124	15,26,02,883	15,24,68,691	100.00	100.00	NA	NA

Notes:

- Total Non-promoter holding is 65.05 % there are no ADR/GDR issues.
- Total Foreign Shareholding is 6,16,91,283 shares (40.43%) which includes investment made by NRI -8,63,664 shares (0.57%), Foreign Bodies Corporate- 4,39,95,788 shares (28.83%) and FII- 1,68,31,831 shares (11.03%)
- Nailsfield Limited (NL), Mauritius is holding 49,410,788 (32.38%) shares (4,39,95,788 shares in FDI account and 54,15,000 shares in FII account.)

(I)(b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category “Promoter and Promoter Group”

Sr. No.	Name of the shareholder	Details of Shares held		Encumbered shares			Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
		Number of shares	As a % of Grand Total (A)+(B)+(C)	Number of shares	As a %	As a % of Grand Total (A)+(B)+(C) of sub clause (I)(a)	Number of warrants held	As a % of total number of warrants of the same class	Number of convertible securities held	As a % of total number of convertible securities of the same class	
(I)	(II)	(III)	(IV)	(V)	(VI) = (V)/(III)X 100	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)
1	NIKHIL ANUPENDRA CHATURVEDI	1,06,11,995	6.95	0	0.00	0.00	0	0.00	0	0.00	6.95
2	NIKHIL CHATURVEDI and SHITAL CHATURVEDI	5,000	0.00	0	0.00	0.00	0	0.00	0	0.00	0.00
3	SALIL ANUPENDRA CHATURVEDI	1,02,95,135	6.75	0	0.00	0.00	0	0.00	0	0.00	6.75
4	RAKESH RAWAT	41,11,750	2.69	0	0.00	0.00	0	0.00	0	0.00	2.69
5	DEEP SUBASH GUPTA	56,73,445	3.72	0	0.00	0.00	0	0.00	0	0.00	3.72
6	NIGAM PATEL	27,12,830	1.78	0	0.00	0.00	0	0.00	0	0.00	1.78
7	AKHIL ANUPENDRA CHATURVEDI	29,12,830	1.91	0	0.00	0.00	0	0.00	0	0.00	1.91
8	ANISHA CHATURVEDI	1,44,225	0.09	0	0.00	0.00	0	0.00	0	0.00	0.09
9	VEENA GUPTA	70,005	0.05	0	0.00	0.00	0	0.00	0	0.00	0.05
10	VANDANA VAIDH	1,620	0.00	0	0.00	0.00	0	0.00	0	0.00	0.00
11	ANISHA CHHABRA	22,035	0.01	0	0.00	0.00	0	0.00	0	0.00	0.01
12	GHANSHYAM RAWAT	20,500	0.01	0	0.00	0.00	0	0.00	0	0.00	0.01
13	PUSHPLATA RAWAT	36,501	0.02	0	0.00	0.00	0	0.00	0	0.00	0.02
14	BALA CHHABRA	1,00,000	0.07	0	0.00	0.00	0	0.00	0	0.00	0.07
15	SUSHANT CHHABRA	67,300	0.04	0	0.00	0.00	0	0.00	0	0.00	0.04
16	VIRENDRA CHHABRA	3,92,300	0.26	0	0.00	0.00	0	0.00	0	0.00	0.26
17	MEERUT FESTIVAL CITY LLP	48,64,778	3.19	5,00,000	10.28	0.33	0	0.00	0	0.00	3.19
18	FLORO MERCANTILE LLP	62,40,000	4.09	0	0.00	0.00	0	0.00	0	0.00	4.09
19	TOPSPEED TRADING COMPANY LLP	48,00,000	3.15	0	0.00	0.00	0	0.00	0	0.00	3.15
20	PROVOGUE (INDIA) LIMITED	2,50,000	0.16	0	0.00	0.00	0	0.00	0	0.00	0.16
21	MRS. SANTOSH SUBHASH GUPTA	10,000	0.01	0	0.00	0.00	0	0.00	0	0.00	0.01
	Total	5,33,42,249	34.95	5,00,000	0.94	0.33	0	0.00	0	0.00	34.95

Note

- 1 Shares are inclusive of shares lying in beneficiary accounts of Depository Participants, which could not be transferred to shareholder's account upto 30.06.2013 due to some operational reasons. The details of such shares are: Mr. Ghanshyam Rawat - 500 shares

(l)(c)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category “Public” and holding more than 1% of the total number of shares

Sr. No.	Name of the shareholder	Number of shares held	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (l)(a) above}	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t. total number of convertible securities of the same class	
1	NAILSFIELD LIMITED (Account FDI)	4,39,95,788	28.83	0	0.0000	0	0.0000	28.83
2	Nailsfield Limited- (Account FII)	54,15,000	3.55	0	0.0000	0	0.0000	3.55
3	SANDEEP G RAHEJA	44,89,600	2.94	0	0.0000	0	0.0000	2.94
4	RAKESH JHUNJHUNWALA	37,50,000	2.46	0	0.0000	0	0.0000	2.46
5	LO FUNDS -EMERGING CONSUMER	27,67,000	1.81	0	0.0000	0	0.0000	1.81
6	RAJESH R NARANG	23,24,160	1.52	0	0.0000	0	0.0000	1.52
7	ACACIA PARTNERS, LP	22,43,375	1.47	0	0.0000	0	0.0000	1.47
8	CAVENDISH ASSET MANAGEMENT LTD	19,12,065	1.25	0	0.0000	0	0.0000	1.25
Total		6,68,96,988	43.84	0	0.0000	0	0.0000	43.84

(l)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category “Public” and holding more than 5% of the total number of shares of the company

Sr. No.	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them	Number of shares	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (l)(a) above}	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	NAILSFIELD LIMITED (including shares holding through FII)	4,94,10,788	32.38	0	0.0000	0	0.0000	32.38
Total		4,94,10,788	32.38	0	0.0000	0	0.0000	32.38

(I)(d) Statement showing details of locked-in shares

Sr. No.	Name of the shareholder	Number of locked-in shares	Locked-in shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}	Promoter/Promoter Group/Public
1	Nailsfield Limited ¹	3,79,95,788	24.90	Public-Foreign Company
	Total			

(II)(a) Statement showing details of Depository Receipts (DRs)

Sr. No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1	NA	NA	NA	NA
	Total	0	0	0

(II)(b) Statement showing Holding of Depository Receipts (DRs), where underlying shares held by 'promoter/promoter group' are in excess of 1% of the total number of shares

Sr. No.	Name of the DR Holder	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1	NA	NA	NA	NA
	Total			

(III)(a) Statement showing the voting pattern of shareholders, if more than one class of shares/ securities is issued by the issuer:

(Give description of voting rights for each class of securities: Class X, Class Y, Class Z)

Not Applicable, as Company has only one class of security.

Note:

- 1 As per SEBI letter no. CFD/DIL/SK/PM/5102/2012 dated 31st August 2012 granting relaxation from the strict application of Rule 19(2)(b) of SCRR, the above shares have been locked in for a period of one year upto 13th September 2013 and shall be released on 14th September 2013. The shares of the Company got listed on the Stock Exchanges on 12th September 2012